



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

# **NOTICE TO FILE MISSING PARTS OF APPLICATION** **Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE with which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
 \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
 \$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.  
 A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
 A \$50.00 processing fee is required since your check was returned without payment.

8. The application was filed in a language other than English.  
 Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement in English translation of the application (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing

**A copy of this notice MUST be returned with the reply.**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 99,097)

**In the Application of:**

**John W. Elling and Susan I. Bassett**

**Serial No. 09/281,990**

**Filed: March 29, 1999**

**For: Method and System for Artificial  
Intelligence Directed Lead Discovery  
in High Throughput Screening Data**

**Group Art Unit 2762**

Asst. Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

Sir:

**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Response to Notice to File Missing Parts of Application;
- b. Copy of Notice to File Missing Parts of Application;
- c. Declaration and Power of Attorney;
- d. Copy of Verified Statement Claiming Small Entity Status;
- e. Assignment with Recordation Cover Sheet; and
- f. Return receipt postcard.


2. With respect to additional fees:

☒ A. Attached is a check in the amount of \$105.00 covering the late submission of a declaration fee and the assignment filing fee.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby

certify that an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on the 30<sup>th</sup> day of November, 1998.

  
Roger P. Zimmerman  
Reg. No. 38,670

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,097)

PATENT

In re Application of: )  
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 John W. Elling and Susan I. Bassett )  
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 Serial No.: 09/281,990 )  
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 Filed: March 29, 1999 ) Group Art Unit 2762  
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 For: Method and System for Artificial )  
 Intelligence Directed Lead Discovery )  
 in High Throughput Screening Data )

Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION -- FILING DATE GRANTED**

Dear Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted, mailed April 21, 1999 (a copy of which is enclosed), Applicants submit herewith the enclosed ~~executed Declaration and Power of Attorney~~ as well as a copy of the Verified Statement Claiming Small Entity Status that was filed with the application. Applicants also enclose herewith a check covering the \$65.00 small entity surcharge for late submission of a declaration.

Respectfully submitted,

**McDONNELL BOEHNEN  
HULBERT & BERGHOFF**

Robert P. Zimmerman  
Reg. No. 38,670